

February 21, 2007

Page 1 of 1

Docket No.: GLAUKO.1C3CP1

**Please Direct All Correspondence to Customer Number 20995****TRANSMITTAL LETTER****SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Applicant : Hosheng Tu, et al.  
 App. No. : 10/706,300  
 Filed : November 12, 2003  
 For : GLAUCOMA IMPLANT WITH  
THERAPEUTIC AGENTS  
 Examiner : Leslie R. Deak  
 Art Unit : 3761

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

February 21, 2007

(Date)

Walter S. Wu, Reg. No. 50,816

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
- (X) Listing 272 references.
  - (X) Enclosing 61 references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

  
 Walter S. Wu  
 Registration No. 50,816  
 Attorney of Record  
 Customer No. 20,995  
 (949) 760-0404

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /LD/



## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant : Hosheng Tu, et al.  
 App. No : 10/706,300  
 Filed : November 12, 2003  
 For : GLAUCOMA IMPLANT WITH  
       THERAPEUTIC AGENTS  
 Examiner : Leslie R. Deak  
 Art Unit : 3761

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February 21, 2007

  
 (Date)  
 Walter S. Wu, Reg. No. 50,816

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 272 references to be considered by the Examiner. Also enclosed are 61 foreign patent references and/or non-patent literature as listed on the Supplemental Information Disclosure Statement.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

By: 

Walter S. Wu

Registration No. 50,816

Attorney of Record

Customer No. 20,995

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**SUPPLEMENTAL INFORMATION  
DISCLOSURE  
STATEMENT BY APPLICANT**

(Multiple sheets used when necessary)

SHEET 1 OF 11

Application No.	10/706,300
Filing Date	November 12, 2003
First Named Inventor	Hosheng Tu, et al.
Art Unit	3761
Examiner	Leslie R. Deak
Attorney Docket No.	GLAUKO.1C3CP1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
/LD/	1	DES 490,152	05-18-2004	Myall et al.	
	2	RE35,390	12-03-1996	Smith	
	3	3,788,327	01-29-1974	Donowitz et al.	
	4	4,037,604	07-26-1977	Newkirk	
	5	4,113,088	09-01-1978	Binkhorst	
	6	4,168,697	09-25-1979	Cantekin	
	7	4,175,563	11-27-1979	Arenberg et al.	
	8	4,402,681	09-06-1983	Haas et al.	
	9	4,428,746	01-31-1984	Mendez	
	10	4,501,274	02-26-1985	Skaerpe	
	11	4,521,210	06-04-1985	Wong	
	12	4,554,918	11-26-1985	White	
	13	4,583,224	04-15-1986	Ishii, et al.	
	14	4,604,087	08-05-1986	Joseph	
	15	4,632,842	12-30-1986	Karwoski et al.	
	16	4,634,418	01-06-1987	Binder	
	17	4,718,907	01-12-1988	Karwoski et al.	
	18	4,722,724	02-02-1988	Schocket	
	19	4,733,665	03-29-1988	Palma	
	20	4,750,901	06-14-1988	Molteno	
	21	4,787,885	11-29-1988	Binder	
	22	4,804,382	02-14-1989	Turina et al.	
	23	4,820,626	04-11-1989	Williams et al.	
	24	4,846,172	07-11-1989	Berlin	
	25	4,846,793	07-11-1989	Leonard et al.	
	26	4,853,224	08-01-1989	Wong	
	27	4,863,457	09-05-1989	Lee	
	28	4,883,864	11-28-1989	Scholz	
/LD/	29	4,886,488	12-12-1989	White	

Examiner Signature /Leslie Deak/

Date Considered

08/16/2007

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T - Place a checkmark here if reference considered in light of one or more prior art citations attached.

ALL REFERENCES CONSIDERED IN LIGHT WHERE LINED THROUGH. /LD/

<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Multiple sheets used when necessary)</i>		Application No.	10/706,300
		Filing Date	November 12, 2003
		First Named Inventor	Hosheng Tu, et al.
		Art Unit	3761
		Examiner	Leslie R. Deak
		Attorney Docket No.	GLAUKO.1C3CP1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
/LD/	30	4,900,300	02-13-1990	Lee	
	31	4,936,825	06-26-1990	Ungerleider	
	32	4,946,436	08-07-1990	Smith	
	33	4,968,296	11-06-1990	Ritch et al.	
	34	4,997,652	03-05-1991	Wong	
	35	5,041,081	08-20-1991	Odrich	
	36	5,073,163	12-17-1991	Lippman	
	37	5,092,837	03-03-1992	Ritch et al.	
	38	5,095,887	03-17-1992	Leon et al.	
	39	5,127,901	07-07-1992	Odrich	
	40	5,129,895	07-14-1992	Vassiliadis et al.	
	41	5,164,188	11-17-1992	Wong	
	42	5,171,213	12-15-1992	Price, Jr.	
	43	5,178,604	01-12-1993	Baerveldt et al.	
	44	5,180,362	01-19-1993	Worst	
	45	5,207,685	05-04-1993	Cinberg, et al.	
	46	5,246,451	09-21-1993	Trescony et al.	
	47	5,290,295	03-01-1994	Querals et al.	
	48	5,300,020	04-05-1994	L'Esperance, Jr.	
	49	5,318,513	06-07-1994	Leib et al.	
	50	5,334,137	08-02-1994	Freeman	
	51	5,338,291	08-16-1994	Speckman et al.	
	52	5,346,464	09-13-1994	Camras	
	53	5,360,399	11-01-1994	Stegmann	
	54	5,370,607	12-06-1994	Memmen	
	55	5,370,641	12-06-1994	O'Donnell, Jr.	
	56	5,372,577	12-13-1994	Ungerleider	
	57	5,397,300	03-14-1995	Baerveldt et al.	
/LD/	58	5,433,701	07-18-1995	Rubinstein	

Examiner Signature	/Leslie Deak/	Date Considered	08/16/2007
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Place a checkmark in the box if any non-patent literature or other information is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /LD/

<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Application No.</b>	10/706,300
(Multiple sheets used when necessary)		<b>Filing Date</b>	November 12, 2003
		<b>First Named Inventor</b>	Hosheng Tu, et al.
		<b>Art Unit</b>	3761
		<b>Examiner</b>	Leslie R. Deak
		<b>Attorney Docket No.</b>	GLAUKO.1C3CP1

SHEET 3 OF 11

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
/LD/	59	5,443,505	08-22-1995	Wong, et al.	
	60	5,454,796	10-03-1995	Krupin	
	61	5,472,440	12-05-1995	Beckman	
	62	5,476,445	12-19-1995	Baerveldt et al.	
	63	5,486,165	01-23-1996	Stegmann	
	64	5,516,522	05-14-1996	Peyman et al.	
	65	5,520,631	05-28-1996	Nordquist et al.	
	66	5,557,453	09-17-1996	Schalz et al.	
	67	5,558,629	09-24-1996	Baerveldt et al.	
	68	5,558,630	09-24-1996	Fisher	
	69	5,558,637	09-24-1996	Allonen et al.	
	70	5,562,641	10-08-1996	Flomenblit et al.	
	71	5,601,094	02-11-1997	Reiss	
	72	5,601,549	02-11-1997	Miyagi	
	73	5,626,558	05-06-1997	Suson	
	74	5,626,559	05-06-1997	Solomon	
	75	5,639,278	06-17-1997	Dereume et al.	
	76	5,651,783	07-29-1997	Reynard	
	77	5,665,114	09-09-1997	Wedock et al.	
	78	5,670,161	09-23-1997	Healy et al.	
	79	5,676,679	10-14-1997	Simon et al.	
	80	5,681,275	10-28-1997	Ahmed	
	81	5,681,323	10-28-1997	Arick	
	82	5,702,414	12-30-1997	Richter et al.	
	83	5,702,419	12-30-1997	Berry et al.	
	84	5,704,907	01-06-1998	Nordquist et al.	
	85	5,713,844	02-03-1998	Peyman	
	86	5,723,005	03-03-1998	Herrick	
/LD/	87	5,741,333	04-21-1998	Frid	

Examiner Signature	/Leslie Deak/	Date Considered	08/16/2007
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a checkmark in this area when an English language translation is attached.

ALL REFERENCES CONSIDERED AND NO PAGES WERE LINED THROUGH. /LD/

<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			<b>Application No.</b>	10/706,300
(Multiple sheets used when necessary)			<b>Filing Date</b>	November 12, 2003
			<b>First Named Inventor</b>	Hosheng Tu, et al.
			<b>Art Unit</b>	3761
SHEET 4 OF 11			<b>Examiner</b>	Leslie R. Deak
			<b>Attorney Docket No.</b>	GLAUKO.1C3CP1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number <i>Number - Kind Code (if known)</i> Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
/LD/	88	5,743,868	04-28-1998	Brown et al.	
	89	5,752,928	05-19-1998	De Roulhac et al.	
	90	5,766,242	06-16-1998	Wong, et al.	
	91	5,766,243	06-16-1998	Christensen et al.	
	92	5,785,674	07-28-1998	Mateen	
	93	5,807,302	09-15-1998	Wandel	
	94	5,810,870	09-22-1998	Myers et al.	
	95	5,824,072	10-20-1998	Wong	
	96	5,830,139	11-03-1998	Abreu	
	97	5,830,171	11-03-1998	Wallace	
	98	5,833,694	11-10-1998	Poncet, Philippe	
	99	5,836,939	11-07-1998	Negus et al.	
	100	5,865,831	02-02-1999	Cozean et al.	
	101	5,868,697	02-09-1999	Richter et al.	
	102	5,879,319	03-09-1999	Pynson et al.	
	103	5,882,327	03-16-1999	Jacob	
	104	5,886,822	03-23-1999	Spitzer	
	105	5,893,837	04-13-1999	Eagles et al.	
	106	5,908,449	06-01-1999	Bruchman et al.	
	107	5,932,299	08-03-1999	Katoot	
	108	5,968,058	10-19-1999	Richter et al.	
	109	5,980,928	11-09-1999	Terry, Paul B.	
	110	5,981,598	11-09-1999	Tatton	
	111	6,004,302	12-21-1999	Brierley	
	112	6,007,510	12-28-1999	Nigam	
	113	6,007,511	12-28-1999	Prywes	
	114	6,033,434	03-07-2000	Borghi	
	115	6,045,557	04-04-2000	White et al.	
	116	6,050,970	04-18-2000	Baerveldt	

Examiner Signature /Leslie Deak/ Date Considered 08/16/2007

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a checkmark in this area when an English language translation is attached.

ALL REFERENCES CONSIDERED AND NOT RELEVANT TO THIS APPLICATION ARE LINED THROUGH. /LD/

<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Application No.</b>	10/706,300
(Multiple sheets used when necessary)		<b>Filing Date</b>	November 12, 2003
		<b>First Named Inventor</b>	Hosheng Tu, et al.
		<b>Art Unit</b>	3761
		<b>Examiner</b>	Leslie R. Deak
		<b>Attorney Docket No.</b>	GLAUKO.1C3CP1
SHEET 5 OF 11			

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
/LD/	117	6,050,999	04-18-2000	Paraschac et al.	
	118	6,059,772	05-09-2000	Hsia et al.	
	119	6,059,812	05-09-2000	Clerc et al.	
	120	6,063,116	05-16-2000	Kelleher	
	121	6,063,396	05-16-2000	Kelleher	
	122	6,071,286	06-06-2000	Mawad	
	123	6,077,299	06-20-2000	Adelberg et al.	
	124	6,102,045	08-15-2000	Nordquist et al.	
	125	6,142,990	11-07-2000	Burk	
	126	6,165,210	12-26-2000	Lau et al.	
	127	6,168,575	01-02-2001	Soltanpour	
	128	6,174,305	01-16-2001	Mikus et al.	
	129	6,186,974	02-13-2001	Allan, et al.	
	130	6,187,016	02-13-2001	Hedges et al.	
	131	6,193,656	02-27-2001	Jeffries et al.	
	132	6,197,056	03-06-2001	Schachar	
	133	6,203,513	03-20-2001	Yaron et al.	
	134	6,217,895	04-17-2001	Guo, et al.	
	135	6,228,873	05-08-2001	Brandt et al.	
	136	6,231,597	05-15-2001	Deem et al.	
	137	6,241,721	06-05-2001	Cozean et al.	
	138	6,251,090	06-26-2001	Avery, et al.	
	139	6,254,612	07-03-2001	Hieshima	
	140	6,261,256	07-17-2001	Ahmed	
	141	6,266,182	07-24-2001	Morita	
	142	6,268,398	07-31-2001	Ghosh et al.	
	143	6,287,313	09-11-2001	Sasso	
↓	144	6,299,895	10-09-2001	Hammang, et al.	
/LD/	145	6,331,313	12-18-2001	Wong, et al.	

Examiner Signature	/Leslie Deak/	Date Considered	08/16/2007
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T - Place a checkmark in the area where an English language translation is attached.

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DATE 10/16/2007 BY SP

**SUPPLEMENTAL INFORMATION  
DISCLOSURE  
STATEMENT BY APPLICANT**

(Multiple sheets used when necessary)

SHEET 6 OF 11

Application No.	10/706,300
Filing Date	November 12, 2003
First Named Inventor	Hosheng Tu, et al.
Art Unit	3761
Examiner	Leslie R. Deak
Attorney Docket No.	GLAUKO.1C3CP1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
/LD/	146	6,342,058	01-29-2002	Portney	
	147	6,348,042	02-19-2002	Warren, Jr.	
	148	6,375,642	04-23-2002	Grieshaber et al.	
	149	6,413,540	07-02-2002	Yaacobi	
	150	6,416,777	07-09-2002	Yaacobi	
	151	6,428,501	08-06-2002	Reynard	
	152	6,436,427	08-20-2002	Hammang, et al.	
	153	6,450,937	09-17-2002	Mercereau et al.	
	154	6,450,984	09-17-2002	Lynch et al.	
	155	6,464,724	10-15-2002	Lynch et al.	
	156	6,524,275	02-25-2003	Lynch et al.	
	157	6,530,896	03-11-2003	Elliott, James B	
	158	6,533,768	03-18-2003	Hill	
	159	6,544,249	04-08-2003	Yu et al.	
	160	6,548,078	04-15-2003	Guo, et al.	
	161	6,579,235	06-17-2003	Abita et al.	
	162	6,585,680	07-01-2003	Bugge	
	163	6,595,945	07-22-2003	Brown	
	164	6,622,473	09-23-2003	Lynch et al.	
	165	6,626,858	09-30-2003	Lynch et al.	
	166	6,629,981	10-07-2003	Bui et al.	
	167	6,638,239	10-28-2003	Bergheim et al.	
	168	6,666,841	12-23-2003	Gharib et al.	
	169	6,699,211	03-02-2004	Savage	
	170	6,736,791	05-18-2004	Tu et al.	
	171	6,780,165	08-24-2004	Kadziauskas , et al.	
	172	6,783,544	08-31-2004	Lynch et al.	
	173	6,827,699	12-07-2004	Lynch et al.	
/LD/	174	6,827,700	12-07-2004	Lynch et al.	

Examiner Signature

/Leslie Deak/

Date Considered

08/16/2007

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a checkmark in this area when an English language translation is attached.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED  
DATE 10/16/2007 BY SPACER

<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Application No.</b>	10/706,300
(Multiple sheets used when necessary)		<b>Filing Date</b>	November 12, 2003
		<b>First Named Inventor</b>	Hosheng Tu, et al.
		<b>Art Unit</b>	3761
		<b>Examiner</b>	Leslie R. Deak
		<b>Attorney Docket No.</b>	GLAUKO.1C3CP1

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
/LD/	175	6,955,656	10-18-2005	Bergheim et al.	
	176	7,033,603	04-25-2006	Nelson, et al.	
	177	7,094,225	08-22-2006	Tu et al.	
	178	7,135,009	11-14-2006	Tu et al.	
	179	2002/0013546	01-31-2002	Grieshaber et al.	
	180	2002/0013572	01-31-2002	Berlin	
	181	2002/0072673	06-13-2002	Yamamoto et al.	
	182	2002/0099434	07-25-2002	Buscemi et al.	
	183	2002/0133168	09-12-2002	Smedley et al.	
	184	2002/0143284	10-03-2002	Tu et al.	
	185	2002/0169130	11-14-2002	Tu et al.	
	186	2002/0188308	12-12-2002	Tu et al.	
	187	2003/0009124	01-09-2003	Lynch, et al.	
	188	2003/0055372	03-20-2003	Lynch, et al.	
	189	2003/0060752	03-27-2003	Bergheim et al.	
	190	2003/0069637	04-10-2003	Lynch, et al.	
	191	2003/0088260	05-08-2003	Smedley et al.	
	192	2003/0097151	05-22-2003	Smedley et al.	
	193	2003/0120200	06-26-2003	Bergheim et al.	
	194	2003/0181848	09-25-2003	Bergheim et al.	
	195	2003/0187384	10-02-2003	Bergheim et al.	
	196	2003/0187385	10-02-2003	Bergheim et al.	
	197	2003/0220602	11-27-2003	Lynch, et al.	
	198	2003/0220603	11-27-2003	Lynch, et al.	
	199	2003/0229303	12-11-2003	Haffner et al.	
	200	2003/0236483	12-25-2003	Ren, David H.	
	201	2003/0236484	12-25-2003	Lynch, et al.	
	202	2004/0024345	02-05-2004	Gharib et al.	
/LD/	203	2004/0050392	03-18-2004	Tu et al.	

Examiner Signature	/Leslie Deak/	Date Considered	08/16/2007
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T<sup>1</sup> - Place a checkmark here if reference is considered in continuation or a divisional application.

ALL REFERENCES CONSIDERED EXCEPT THOSE LINED THROUGH /LD/

<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Application No.</b>	10/706,300
(Multiple sheets used when necessary)		<b>Filing Date</b>	November 12, 2003
SHEET 8 OF 11		<b>First Named Inventor</b>	Hosheng Tu, et al.
		<b>Art Unit</b>	3761
		<b>Examiner</b>	Leslie R. Deak
		<b>Attorney Docket No.</b>	GLAUKO.1C3CP1

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Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
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Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T'
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All references reviewed except where lined through. /LD/

<b>SUPPLEMENTAL INFORMATION DISCLOSURE</b>		<b>Application No.</b>	10/706,300
<b>STATEMENT BY APPLICANT</b>		<b>Filing Date</b>	November 12, 2003
(Multiple sheets used when necessary)		<b>First Named Inventor</b>	Hosheng Tu, et al.
SHEET 9 OF 11		<b>Art Unit</b>	3761
		<b>Examiner</b>	Leslie R. Deak
		<b>Attorney Docket No.</b>	GLAUKO.1C3CP1

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